

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



Patent
Attorney's Docket No. 024445-377

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
)	
Anders HÖRLING et al)	Group Art Unit: 1772
)	
Application No.: 10/653,244)	Examiner: UNASSIGNED
)	
Filed: September 3, 2003)	Confirmation No.: 2817
)	
For: COMPOSITE STRUCTURED WEAR)	
RESISTANT COATING)	

SUBMISSION OF CERTIFIED COPY OF PRIORITY DOCUMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The benefit of the filing date of the following prior foreign application in the following foreign country is hereby requested, and the right of priority provided in 35 U.S.C. § 119 is hereby claimed:

Sweden Patent Application No. 0202631-8
Filed: September 4, 2002

In support of this claim, enclosed is a certified copy of said prior foreign application. Said prior foreign application was referred to in the oath or declaration. Acknowledgment of receipt of the certified copy is requested.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: January 22, 2004

By: Ronald L. Grudziecki
Ronald L. Grudziecki
Registration No. 24,970

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

RLG/cvj

PRV

PATENT- OCH REGISTRERINGSVERKET
Patentavdelningen

Intyg Certificat

Härmed intygas att bifogade kopior överensstämmer med de handlingar som ursprungligen ingivits till Patent- och registreringsverket i nedannämnda ansökan.

This is to certify that the annexed is a true copy of the documents as originally filed with the Patent- and Registration Office in connection with the following patent application.



(71) Sökande Seco Tools AB, Fagersta SE
Applicant (s)

(21) Patentansökningsnummer 0202631-8
Patent application number

(86) Ingivningsdatum 2002-09-04
Date of filing

Stockholm, 2003-09-05

För Patent- och registreringsverket
For the Patent- and Registration Office

Hjördis Segerlund
Hjördis Segerlund

Avgift
Fee 170:-

+46 223 40506

1

Ink. t. Patent- och reg.verket

2002 -09- 0 4

Precipitation hardened wear resistant coating

Huvudfaxen Kassar

BACKGROUND OF THE INVENTION

The present invention relates to a cutting tool for machining
5 by chip removal consisting of a substrate of cemented carbide,
cermet, ceramics, cubic boron nitride based material or high speed
steel and a hard and wear resistant refractory coating of which at
least one layer comprises a precipitation hardened $(Ti_yAl_xMe_{1-x-y})N$
based layer, where Me is one of the elements Zr, Hf, V, Nb, Ta, Cr,
10 Mo, W or Si.

Since the early 1980's, golden-coloured TiN-layers are widely
used for surface protective applications. In order to improve the
oxidation resistance of these coatings, work began in the mid-1980's
with adding aluminium to TiN [see e.g. H. A. Jehn, et al, J. Vac.
15 Sci. Technol. A 4, 2701 (1986) and O. Knotek et.al, J. Vac. Sci.
Technol. A 4, 2695 (1986)]. The compound thus formed, cubic-phase
 $Ti_{1-x}Al_xN$, was found to have superior oxidation resistance and enabled
greater cutting speeds during machining, prolonged tool life,
machining of harder materials, and improved manufacturing economy.

20 The mechanisms responsible for the excellent cutting
performance of $Ti_{1-x}Al_xN$ -coated tools have this far been associated
with the coating's oxidation resistance. B.-J. Kim et.al., J. Vac.
Sci. Technol. A 17(1), 133 (1999) reported that an increased
aluminium content in the $Ti_{1-x}Al_xN$ compound improve the oxidation
25 resistance. TiN oxidises rapidly at temperatures of 500-600°C
according to W.-D. Münz, invited paper Int. Conf. Met. Coat., San
Diego, USA (1986) and H. G. Tompkins, J. Appl. Phys. 70, 3876
(1991), whereas oxidation of $Ti_{1-x}Al_xN$ starts at 750-900°C, D.
McIntyre et. Al., J. Appl. Phys. 67, 1542 (1990).]. This give an
30 increased upper operating temperature of $Ti_{1-x}Al_xN$ compared to TiN
from 450-500°C to 750-800°C according to Münz et al., invited paper
Int. Conf. Met. Coat., San Diego, USA (1986). This concept of mainly
 $Ti_{1-x}Al_xN$ based materials, has been a subject for a large number of
further optimisation of different types like macroscopically

2002 -09- 0 4

2

Huvudfaxen Kassan

compositional gradients over the coated components as US 5,272,014 disclose. Another way of optimization has been by applying different concepts of multilayer as; alternating Ti and Al containing layers (US 6,309,738), oxygen and non-oxygen containing layers (US 6,254,984), one of the layer stacked in the multilayer consist itself of a multilayer (US 6,077,596), alternating nitrogen content (US 5,330,853) or using one metastable compound (US 5,503,912) or as aperiodic multilayer (US 6,103,357).

H. Holleck, Surf. Coat. Technol. 36, 151 (1988) have reported that the solid solubility of AlN in TiN is extremely low, and only at 2 700 K it reaches ~5 mol%. For larger AlN fractions, or at lower temperatures, the equilibrium system consists of cubic TiN and hexagonal AlN. However, as is well known $Ti_{1-x}Al_xN$ can be deposited as a metastable cubic structure by using physical vapour deposition (PVD). At an elevated temperature during heat treatment or operation of a coated cutting tool necessary amount of energy may then be supplied that phase separation into c-TiN and h-AlN occur which normally deteriorates the wear resistance of the coating.

It is an object of the present invention to provide a coating comprising a precipitation hardened $(Ti_yAl_xMe_{1-x-y})N$ layer applied to a cutting tool for machining by chip removal.

It is a further object of the present invention to provide a method for depositing crystalline $(Ti_yAl_xMe_{1-x-y})N$ layers with low compressive residual stresses and hardened by precipitation of nano-crystalline secondary phase(s), using PVD-technique, this type of layer will hereafter be called PREHARD-TiAlN.

Surprisingly, we have now found that by balancing the chemical composition and the amount of thermal energy added, enhanced performance can be achieved by utilising initial phase separation of the $(Ti_yAl_xMe_{1-x-y})N$ layer into c-TiN, c-AlN and h-AlN solved in the $(Ti_aAl_bMe_{1-a-b})N$ matrix in a controlled manner, where a and b are not necessarily the same as x and y. This precipitation hardening effect will then balance the lost in hardness due to the annealing out of structural defects which normally contribute significantly to the

2002-09-04

Huvudfaxen Kassen

3

hardness of wear resistant PVD-coatings based on nitrides and/or carbides, see e.g. Karlsson et al Thin Solid Films 371 (2000) 36.

BRIEF DESCRIPTION OF THE DRAWING

5 Figure 1; X-ray diffraction patterns obtained from $(\text{Ti}_{0.34}\text{Al}_{0.66})(\text{N}_{0.97}\text{O}_{0.03})_{0.92}$ layers in as-deposited and annealed conditions

Figure 2; Cross-sectional TEM micrographs of $(\text{Ti}_{0.34}\text{Al}_{0.66})(\text{N}_{0.97}\text{O}_{0.03})_{0.92}$ layers in (a) as-deposited, (b) 120 min at 10 900°C (c) 120 min at 1100°C -annealed conditions.

Figure 3; X-ray diffraction patterns obtained from $(\text{Ti}_{0.38}\text{Al}_{0.58}\text{V}_{0.04})\text{N}$ layers in as-deposited and annealed conditions.

Figure 4; Schematic drawing showing the definition of the factor $F_{10/90}$.

15

DETAILED DESCRIPTION OF THE INVENTION

According to the present invention there is provided a cutting tool for machining by chip removal comprising a body of a hard alloy of cemented carbide, cermet, ceramics, cubic boron nitride based 20 material or high speed steel onto which a wear resistant coating is deposited composed of one or more layers of refractory compounds comprising at least one PREHARD-TiAlN layer(s). Additional layer(s) to the PREHARD-TiAlN is composed of metal nitrides and/or carbides and/or oxides with the metal elements chosen from Ti, Zr, Hf, V, Nb, 25 Ta, Cr, Mo, W, Si and Al grown using Physical vapour deposition (PVD) or other deposition technologies than PVD such as plasma enhanced chemical vapour deposition (PACVD) and/or chemical vapour deposition (CVD).

30 The PREHARD-TiAlN -layer(s) consist of a crystalline $(\text{Ti}_b\text{Al}_a\text{Me}_{1-a-b})\text{N}$ matrix hardened by precipitation of nano-meter sized cubic TiN (c-TiN), cubic AlN (c-AlN) and hexagonal AlN (h-AlN), crystallites, where a and b are not necessarily the same as x and y describing the overall composition as $(\text{Ti}_y\text{Al}_x\text{Me}_{1-x-y})\text{N}$. The layer has a low macroscopic compressive residual stress state between 0 and -3.5 35 GPa, preferably between 0 and -2 GPa. Me is one or several of the element Zr, Hf, V, Nb, Ta, Cr, Mo, W or Si preferably V, Zr, Ta, Nb.

2002-09-04

4

Huvudfaxen Kassen

x is between 0.5 and 0.8, preferably between 0.55 and 0.7. The ratio, $R=x/(x+y)$, between 0.5 and 0.8 and preferably between 0.55 and 0.7. The sum of Ti and Al subscript, $S=x+y$, is preferably between 0.7 and 1.0 and most preferably between 0.8 and 1.0. In one preferred embodiment $x+y=1$ and in another $x+y<1$. The notation here used, $(Ti_yAl_xMe_{1-x-y})N$, imply stoichiometry between metal atoms i.e. $(Ti_yAl_xMe_{1-x-y})$ and N, however, this is never/seldom the reality. A more correct notation would be $(Ti_yAl_xMe_{1-x-y})N_j$ where j is between 1.2 and 0.8, preferably between 0.9 and 1.05. In further description will the simpler notation of $(Ti_yAl_xMe_{1-x-y})N$ be used, except for example 1 where an exact measurement of the stoichiometry has been performed. Coatings grown in industrial deposition system contains always a certain amount of oxygen (O) due to residual gases in the system, as demonstrated in example 1, also this fact is not accounted for in the notation. However, the fact that exact notation in respect of stoichiometry and oxygen content are not used should not restrict the validity of this invention.

The precipitation hardened $(Ti_yAl_xMe_{1-x-y})N$ layer has an enhanced hardness, toughness and thermal stability, due to a controlled transformation of the cubic (rocksalt structure) $(Ti_yAl_xMe_{1-x-y})N$ layer into hexagonal h-AlN, c-TiN and c-AlN in a matrix of $(Ti_aAl_bMe_{1-a-b})N$ where a and b not necessarily are the same as x and y. The layer is characterised by:

- the ratio of the peak broadening, $F_{10/90}$, (FW10%M or FW90%M meaning Full Width at 10% and 90% of the maximum peak value which is reduced with the background, see fig. 4) measured on the 200 peak at approximately $43^\circ 2\theta$ (using Cu K α radiation) of the $(Ti_yAl_xMe_{1-x-y})N$ coating being >7.5 , preferably >9 .
- the ratio between the area of the h-AlN (100) peak at approximately $33^\circ 2\theta$ ($=A(h-AlN)_{100}$) and the c- $(Ti_yAl_xMe_{1-x-y})N$ (200) peak at approximately $43^\circ 2\theta$ ($=A(c-(Ti,Al,Me)N)_{200}$) called K, i.e. $K=A(h-AlN)_{100}/A(c-(Ti,Al,Me)N)_{200}$. R is

2002-09-04

5

Huvudfaxen Kassar

preferably between 0 and 0.3 and most preferably between 0 and 0.2.

- PREHARD-TiAlN layer(s) consist of a single $(Ti_yAl_xMe_{1-x-y})N$ (200) peak without several maxima e.g. as the case of 480 min sample in fig.3.

The PREHARD-TiAlN layer(s) can also be deposited directly onto the cutting tool substrate. The thickness of said PREHARD-TiAlN layer(s) varies then between 0.5 and 12 μm preferably between 0.5 and 8 μm for machining by chip removal. The total coating thickness, if the PREHARD-TiAlN layer(s) according to the present invention is combined with other layer(s), varies between 0.5 and 15 μm , preferably between 1 and 12 μm with the thickness of the non-PREHARD-TiAlN -layer(s) varying between 0.5 and 8 μm .

In an alternative embodiment the PREHARD-TiAlN -layer(s) of 0.5 and 10 μm , with or without other layer(s) according to above described, an outer 0.5 to 5 μm thick layer consisting of a solid low friction material based on MoS_2 or a MeC/C, where Me is Cr, W, Ti or Ta, can be deposited on top of the coating.

In yet an alternative embodiment the PREHARD-TiAlN layer(s) between 0.1 and 2 μm are one of 1 to 5 different materials in a 1.0 to 15 μm thick multi-layer coating consisting of individually 2-500, preferably 5-200, layers.

In yet an alternative embodiment the PREHARD-TiAlN -layer(s) of 0.5 and 20 μm can be deposited on top of a CVD coating which may comprise one or several layer(s) of a crystalline Al_2O_3 .

The method used to grow the coatings comprising a PREHARD-TiAlN -layer(s) of the present invention are based on arc evaporation of an alloy or composite cathode under the following conditions:

The Ti/Al-cathode composition is between (50 at% Ti + 50 at% Al) and (20 at% Ti + 80 at% Al), preferably between (40 at% Ti + 60 at% Al) and (30 at% Ti + 70 at% Al).

Evaporation current is between 50 A and 200 A depending on cathode size. Using cathodes of 63 mm in diameter preferably between 50 A and 80 A.

+46 223 40506

Svk. t. Patent- och reg.verket

2002-09-04

Huvudfaxen Kassar

6

Ar/N₂ atmosphere consisting of 0-50 vol% Ar, preferably 0-20 vol%, at total pressure of 1.0 Pa to 9.0 Pa, preferably 1.5 Pa to 5.0 Pa.

Substrate bias of -10 V to -300 V, preferably -40 V to -120.

5 Deposition temperature shall be between 400°C and 700°C, preferably 500°C and 650°C

To grow a (Ti_yAl_xMe_{1-x-y})N, where Me is one or several of the elements Zr, Hf, V, Nb, Ta, Cr, Mo, W or Si, alloyed cathodes are used. An alternative is to use one/several pure Me cathode(s) and
10 position this/these cathodes at a longer distance from the substrates than the Ti/Al cathodes are positioned. In this way the preferable alloying of the (Ti,Al)N layer can also be made.

If pure V or Zr cathode(s) is/are used the evaporation current is preferably between 60 A and 90 A, for Nb and Ta between 80 A and
15 100. Those current values are suitable for cathodes of 63 mm in diameter but will be different for other sizes.

Since the (Ti_yAl_xMe_{1-x-y})N-phase also forms metal carbonitride or carbonitrideoxide compounds, and by using PVD-technique to grow the PREHARD-TiAlN-layer, it is simple by adding some carbon and/or
20 oxygen containing gas to the atmosphere during deposition (e.g. C₂H₂ or CH₄, CO, O₂), it is obvious that carbon/oxygen alloyed PREHARD-TiAlN can be obtained e.g. (Ti_yAl_xMe_{1-x-y})N_{1-d-e}C_dO_e where d+e is between 0 and 0.2.

The post annealing is preferably performed in inert atmosphere
25 of Ar and/or N₂ at a temperature between 800°C and 1100°C during a period of 5 to 240 min. However, the optimal temperature and period is given by the initial phase separation as can be seen by XRD analysis. The annealing can also be performed in-situ in the deposition system, if it can generate enough thermal energy to
30 obtain the preferred initial phase separation. One possibility to obtain the initial phase separation is to deposit a CVD coating, at a deposition temperature of 800°C to 1050°C, on top of the as-deposited Composite-TiAlN layer.

35 The present invention has been described with reference to layers consisting of a PREHARD-TiAlN -layer(s) deposited using arc

2002-09-04

7

Huvudfaxen Kassa

evaporation. It is obvious that PREHARD-TiAlN -layer(s) also could
b produced using other PVD-technologies as magnetron sputtering,
electron beam evaporation, ion plating or laser ablation.

5 Example 1

Cemented carbide substrates with composition 93.5 wt% WC - 6
wt% Co - 0.5 wt% (Ta,Nb)C were used. The WC grain size was about 1
µm and the hardness was 1630 HV₁₀. The substrates were ground on
diamond disks and polished using fine-grained diamond slurry in
10 order to obtain a mirror like surface for the material analysis.

Before deposition, the substrates were cleaned in ultrasonic
baths of an alkali solution and alcohol. The shortest cathode-to-
substrate distance was 160 mm. The system was evacuated to a
pressure of less than 2.0×10^{-3} Pa, after which the substrates were
15 sputter cleaned with Ar ions. The (Ti,Al)N layer was grown using arc
evaporation of six Ti/Al (33 at% Ti + 67 at% Al) cathodes (63 mm in
diameter) in an 99.995% pure N₂ atmosphere at total pressure of 2.0
Pa, using a substrate bias of -90 V. The deposition was carried out
during 60 min in order to obtain a coating thickness of
20 approximately 3 µm. The deposition temperature was ~ 530°C.

Immediately after deposition the chamber was vented with dry N₂.
Isothermal post annealing of the coated inserts was carried out
at various temperatures in a hot-wall quartz-tube furnace with a
0.40 m long constant temperature ($\pm 5^\circ\text{C}$) zone. The inserts were
25 inserted into the furnace, which was already heated to and
stabilised at the desired temperature. The annealing experiments
were performed in flowing Ar at atmospheric pressure for a period of
120 min.

A separate layer, thickness 300 nm, intended for chemical
30 characterisation was deposited onto a pure C substrate using
identical process parameters. The chemical analysis was performed by
Rutherford backscattering spectrometry (RBS), using ⁴He⁺ ions of 1.8
MeV kinetic energy and a scattering angle of 170°. The RUMP software

was used for evaluating raw data. The obtained composition of the as-deposited layer was $(\text{Ti}_{0.34}\text{Al}_{0.66})(\text{N}_{0.97}\text{O}_{0.03})_{0.92}$.

The XRD (X-ray diffraction) pattern, using $\text{Cu K}\alpha$ radiation and a configuration with a constant grazing incidence angle of 2° of the as-deposited and annealed $(\text{Ti}_{0.34}\text{Al}_{0.66})(\text{N}_{0.97}\text{O}_{0.03})_{0.92}$ layers are shown in figure 1. The as-deposited layer consists of a single-phase [NaCl] structure. The (200) peak was positioned at $43.5^\circ 2\theta$. For annealing at 600°C the $F_{10/90}$ value remained constant. For annealing temperatures from 600°C to 900°C the $F_{10/90}$ value increased from 6 to 10. It can clearly be seen in figure 1 that annealing at 900°C resulted in a broadening of the base of the peak and a sharpening at the top. This is the change in symmetry which is reflected by an increased $F_{10/90}$ value. Annealing at 1100°C made the $(\text{Ti}_{0.34}\text{Al}_{0.66})(\text{N}_{0.97}\text{O}_{0.03})_{0.92}$ (200) peak position shift towards lower 2θ values, coming very close to the position for unstrained TiN (200) peak. Peaks originating from h-AlN were also found to appear after annealing at 1100°C . Annealing at 1250°C resulted in a strong peak from Co (111) at $44^\circ 2\theta$ as a result of Co migration up onto the coating surface during this high temperature annealing. The $F_{10/90}$ values of the layers annealed at 1100°C and 1250°C are close to the values of the as-deposited layer.

The macroscopic residual stress state of the layers was determined by using a substrate curvature technique described by Ramsey et al, Surf. Coat. Technol. 43-44, 223 (1990) and Stoney Proc. R. Soc. London A 82, 172 (1909). The coated WC-Co platelets, $5 \times 5 \times 0.8 \text{ mm}^3$, were prepared by cutting, grinding and polishing to a final thickness between $200\text{--}300 \mu\text{m}$. The macroscopic residual stress of the layers were compressive and largest in the as-deposited at $-2.3 \pm 0.5 \text{ GPa}$ (assuming $E_{\text{WC-Co}} = 600 \text{ GPa}$, $\nu_{\text{WC-Co}} = 0.22$). Annealing at 900°C for 120 min resulted in stress relaxation to -1.2 GPa , see table 2.

Cross-sectional transmission electron microscope (TEM) was used to study the microstructure of the coatings. The sample preparation consisted of mechanical grinding and polishing followed by ion-beam

+46 223 40506

Ink. t. Patent- och reg.verket

2002 -09- 0 4

9

Huvudfaxen Kassan

sputter etching on both upper and lower surfaces. Cross-sectional TEM micrographs of the as-deposited $(\text{Ti}_{0.34}\text{Al}_{0.66})(\text{N}_{0.97}\text{O}_{0.03})_{0.92}$ layers (Fig. 2a) revealed a dense and columnar microstructure with a high defect density and overlapping strain fields due to residual stresses. With respect to the initialised phase separation at 900°C observed by XRD, the micrographs of the annealed sample revealed a structure similar to the as-deposited condition, except for column boundaries appearing more clearly defined. Annealing at 1100°C resulted in phase separation of the metastable c-(Ti,Al)N structure into h-AlN precipitates in a c-(Ti,Al)N matrix, as evidenced by the selected-area electron diffraction (SAED) patterns. Original column boundaries were also dissolved at this temperature, and a fine-textured structure consisting of sub-grains of diameter 50-100 nm evolved. The texture was of a feather-like type with the subgrains spreading out in the growth direction in bundles limited by the parent grain; the parent grain being defined by original column boundaries in the 900°C-annealed condition. From a comparison of SAED patterns in Figs. 2 b) and (c), it appears that each sub-grain has inherited the initial orientation of the respective parent grain, however, within a few degrees of misorientation. Higher-magnification electron micrographs of this structure (inset Fig. 2c) reveal nanometer-sized grains of both cubic and hexagonal structure. After annealing at 1250°C, grains of both hexagonal and cubic phase were found to coarsen and become evenly distributed throughout the entire layer thickness. In addition, the grains adopted an equiaxed geometry with average diameter ~50 nm.

Scratch testing resulted in a critical load, $F_{N,c}$, of the as-deposited layer of ~60 N. After annealing an enhanced adhesion of variant B to E could be detected with a maximum $F_{N,c}$ of ~80 N of variant E. For higher annealing temperature decreased $F_{N,c}$. Very low $F_{N,c}$ were seen for variant G annealed at 1250°C of ~20 N, see table 2.

Table 1.

Ink. t. Patent- och reg.verket

2002-09-04

Huvudfaxen Kassa

Var- iant	Annealing Temp. [°C]	K (h-100)/ (c-200)	Shape c-(200)	F _{10/90}
A	As-dep.	0	One peak	6.4
B	600	0	One peak	6.3
C	700	0	One peak	7.4
D	800	0	One peak	8.3
E	900	0	One peak	10.0
F	1100	0.22	One peak	6.1
G	1250	0.17	Multiple	6.3

Table 2.

Var- iant	Critical load F _{NC} [N]	Macro Residual stress σ^M [GPa]	Hardness. H [GPa]	Coating morphology
A	60	-2.3±0.5	33.9	Columnar
B	60	-	33.8	Columnar
C	70	-	35.6	Columnar
D	70	-	31.1	Columnar
E	80	-1.2±0.1	35.8	Columnar
F	50	-	30.6	Nanocrystalline.
G	20	-	28.4	Equiaxed

5

Example 2

Similar substrates as in example 1 were coated with a single layer of $(Ti_yAl_xMe_{1-x-y})N$ where Me is V. The substrate were positioned on a fixture of drum type on a position just in front of one Ti/Al cathode (33 at% Ti + 67 at% Al). One V-cathode (99.9 at%) was off set in height from the substrates with 20 cm. The composition of the layer analysed with Energy Dispersiv Spectroscopy (EDS) gave a notation of $(Ti_{0.36}Al_{0.58}V_{0.06})N$, the ratio between metal atoms and nitrogen could not be measured using this technique, but it is

assumed in the notation to be stoichiometric i.e. one. This composition gives $R=x/(x+y)=0.62$ and $S=x+y=0.94$. The coating thickness was 4 μm . The as-deposited samples were isothermally post-annealed at 900°C in an Ar atmosphere at atmospheric pressure for different periods ranging from 0 (=as-deposited) to 240 min. The samples were analysed by XRD using constant gracing incident geometry of $2^\circ 2\theta$ using Cu K_α radiation.

The as-deposited layer consisted of single-phase [NaCl] structure, see fig. 3. Also after the longest periods of annealing at 900°C could not the h-AlN (100) peak be detected, i.e. the ratio R is equal to zero for all layers. However for the longest periods of annealing the c-($\text{Ti}_{0.38}\text{Al}_{0.58}\text{V}_{0.04}$)N (200) peak separated into two peaks of different lattice parameter.

The change of the ($\text{Ti}_{0.38}\text{Al}_{0.58}\text{V}_{0.04}$)N (200) peak shape was studied expressed as the ratio $F_{10/90}$. Also in this material system can it be seen that the $F_{10/90}$ has a maximum after a period of annealing, see table 3.

Table 3

Variant	Period of annealing	R (h-100) / (c-200)	Shape c-(200)	$F_{10/90}$
H	As-dep.	0	One peak	7.1
I	10	0	One peak	11.2
J	30	0	One peak	18.2
K	60	0.01	One peak	14.1
L	120	0.02	One peak	6.5
M	240	0.02	One peak	6.8
N	480	0.03	Two peaks	8.7

Example 3

2002 -09- 0 4

12

Huvudfaxen Kassan

Cement d carbide cutting tool inserts with composition 93.5 wt% WC - 6 wt% Co - 0.5 wt% (Ta,Nb)C, were coated and annealed according to example 1 (the same names of the variants are used).

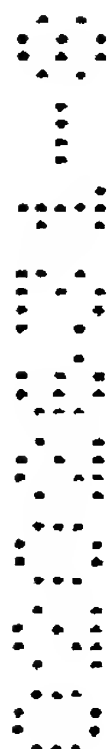
5 Face milling tests with homogeneous and interrupted cut were performed in SS2541. The homogeneous test was made in an 60 mm wide plate and the interrupted by using three 20 mm wide plates separated by 10 mm, mounted as a package. The cutting data were; $v_c = 250$ m/min (homogeneous) and 200 m/min (interrupted), $f = 0.1$ mm/rev and depth of cut = 2.5 mm.

10

Variant	Homogeneous cut	Interrupted cut	F _{10/90}
	Tool life, mm	Tool life, mm	
A	3300	2200	6.4
B	2900	2600	6.3
C	3100	2550	7.4
D	2950	3050	8.3
E	2700	3400	10.0
F	2100	2700	6.1
G	950	600	6.3

This test demonstrates that the variant E has the best toughness without a large drop in tool life in homogeneous cut. Variant E has also the highest F_{10/90} value.

15



2002 -09- 0 4

Huvudfaxen Kassar

13

Example 4

Cemented carbide cutting tool inserts with composition 93.5 wt% WC - 6 wt% Co - 0.5 wt% (Ta,Nb)C, were coated and annealed according to example 2 (the same name of the variants are used).

- 5 Face milling tests with interrupted cut were performed in SS2541 according to example 3

Variant	Interrupted cut	F _{10/90}
	Tool life, mm	
H	2050	7.1
I	2400	11.2
J	2900	18.2
K	3100	14.1
L	2700	6.5
M	Failure	6.8
N	1650	8.7

- 10 This test demonstrates that the variant J-K has the best wear resistance in interrupted cut. Those variants have also the highest F_{10/90} value. Variant M got large chippage already after 600 mm in cut.

15 Example 5

Some of the variants from example 1 were tested in a square shoulder-milling test in stainless steel using following cutting data:

Insert: SONX 120405TR-M12, WC-10 wt% Co

Material: SS2333

V_c=250 m/min

f_z=0.2 mm

a_p=2.5mm, a_e=25 mm

Cutter diameter 125 mm

+46 223 40506

Ink. t. Patent- och reg.verket

14

2002 -09- 0 4

Huvudfaxen Kassa

Variant	Tool life, mm	F _{10/90}
A	2700	6.4
E	3600	10.1
F	1900	6.1
G	600	6.3

5 This test demonstrates that the variant E has the best toughness. Variant A was worn out due to chippage at the depth of cut.

Example 6

10 A variant grown using same deposition data as variant A but thinner, 1 μm , was annealed for different periods at 900°C and tested in interrupted cut according to example 2.

Variant	Annealing Period at 900°C [min]	K (h-100) / (c-200)	Shape c-(200)	F _{10/90}	Interrupted cut Tool life [mm]
O	0	0	One peak	7,19	1100
P	15	0	One peak	10,06	1650
Q	30	0	One peak	12,46	1900
R	60	0	One peak	8,85	1400
S	120	0	One peak	7,23	1500
T	240	0	Two peaks	12,53	1200
U	480	0	Two peaks	10,73	1350

15 This test demonstrates the enhanced toughness and tool life follows the F_{10/90} value.

2002-09-04

Huvudfaxen Kassar

15

Claims

1. Cutting tool insert comprising a substrate and a coating
c h a r a c t e r i s e d in that the coating is composed of one or
more layers of refractory compounds of which at least one layer
5 comprises a so precipitation hardened $(Ti_yAl_xMe_{1-x-y})N$ based layer,
where Me is one of the element Zr, Hf, V, Nb, Ta, Cr, Mo, W or Si,
defined by:

- x is between 0.50 and 0.80

- The ratio, $R=x/(x+y)$, is between 0.50 and 0.85

10 - The sum of Ti and Al subscript, $S=x+y$, is between 0.7 and 1.0

- The ratio of the peak width, $F_{10/90}$, (FW10%M or FW90%M meaning
Full Width at 10% and 90% of the maximum peak value reduced
with the background) measured on the 200 peak at approximately
43 °2θ (using Cu K_α radiation) of the $(Ti_yAl_xMe_{1-x-y})N$ coating,
15 according to fig 4, is higher than 7.5.

- The ratio between the area of the h-AlN (100) peak at
approximately 33 °2θ ($=A(h-AlN)_{100}$) and the c- $(Ti_yAl_xMe_{1-x-y})N$
(200) peak at approximately 43 °2θ ($=A(c-(Ti,Al,Me)N)_{200}$) called
K, i.e. $K=A(h-AlN)_{100}/A(c-(Ti,Al,Me)N)_{200}$. K is between 0 and
20 0.3.

- The layer consist of a single $(Ti_yAl_xMe_{1-x-y})N$ (200) peak
without several maxima e.g. as the case of the 480 min sample
in fig.3.

25 2. Cutting tool insert according to claim 1

c h a r a c t e r i s e d by:

- x is between 0.55 and 0.70

- The ratio, $R=x/(x+y)$, is between 0.55 and 0.75

- The sum of Ti and Al subscript, $S=x+y$, is between 0.8 and 1.0

30 - The $F_{10/90}$ is higher than 8.

2002 -09- 0 4

Huvudfaxen Kassar

3. Cutting tool according to claim 2 c h a r a c t e r i s e d
by:

- x is between 0.60 and 0.70
- 5 - The ratio, $R=x/(x+y)$, is between 0.60 and 0.75
- The $F_{10/90}$ is higher than 9.
- K is between 0 and 0.2

4. Cutting tool according to any of the preceding claims
c h a r a c t e r i s e d in that $x+y=1$.

10 5. Cutting tool according to any of claims 1-3
c h a r a c t e r i s e d in that $x+y<1$.

6. Cutting tool according to any of the preceding claims
c h a r a c t e r i s e d in that $Me= V, Zr, Ta, Nb, Si$.

15 7. Cutting tool according to any of the preceding claims
c h a r a c t e r i s e d in that $Me= V, Zr, Ta, Nb$.

8. Cutting tool according to any of the preceding claims
c h a r a c t e r i s e d in that said layer is deposited with PVD
technique.

0
1
2
3
4
5
6
7
8
9

2002 -09- 0 4

Huvudfaxen Kassan

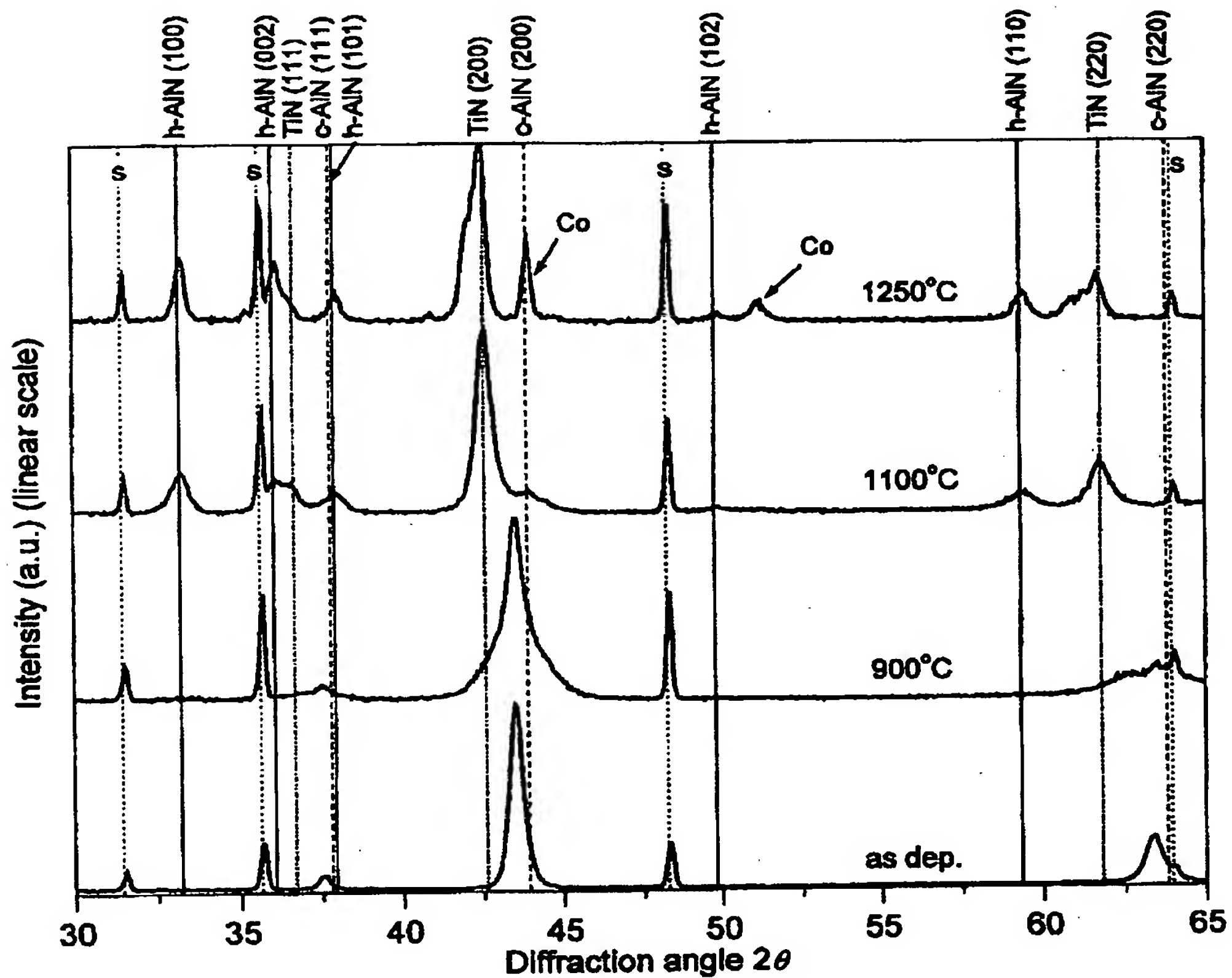
Abstract

The present invention relates to a cutting tool insert comprising a substrate and a coating characterised in that the coating is composed of one or more layers of refractory compounds of which at least one layer comprises a so precipitation hardened $(\text{Ti}_y\text{Al}_x\text{Me}_{1-x-y})\text{N}$ based layer, where Me is one of the element Zr, Hf, V, Nb, Ta, Cr, Mo, W or Si, defined by:

- x is between 0.50 and 0.80
- The ratio, $R=x/(x+y)$, is between 0.50 and 0.85
- 10 - The sum of Ti and Al subscript, $S=x+y$, is between 0.7 and 1.0
- The ratio of the peak width, $F_{10/90}$, (FW10%M or FW90%M meaning Full Width at 10% and 90% of the maximum peak value reduced with the background) measured on the 200 peak at approximately $43^\circ 2\theta$ (using Cu K_α radiation) of the $(\text{Ti}_y\text{Al}_x\text{Me}_{1-x-y})\text{N}$ coating, according to fig 4, is higher than 7.5.
- 15 - The ratio between the area of the h-AlN (100) peak at approximately $33^\circ 2\theta$ ($=A(\text{h-AlN})_{100}$) and the c- $(\text{Ti}_y\text{Al}_x\text{Me}_{1-x-y})\text{N}$ (200) peak at approximately $43^\circ 2\theta$ ($=A(\text{c}-(\text{Ti},\text{Al},\text{Me})\text{N})_{200}$) called K, i.e. $K=A(\text{h-AlN})_{100}/A(\text{c}-(\text{Ti},\text{Al},\text{Me})\text{N})_{200}$. K is between 0 and
- 20 0.3.
- The layer consist of a single $(\text{Ti}_y\text{Al}_x\text{Me}_{1-x-y})\text{N}$ (200) peak without several maxima e.g. as the case of the 480 min sample in fig.3.

Ink. t. Patent- och reg.verket
2002-09-04
Huvudfaxen Kassar

Figure 1



+46 223 40506

19

Ink. t. Patent- och reg.verket

2002 -09- 0 4

Huvudfaxen Kassan

Figure 2a

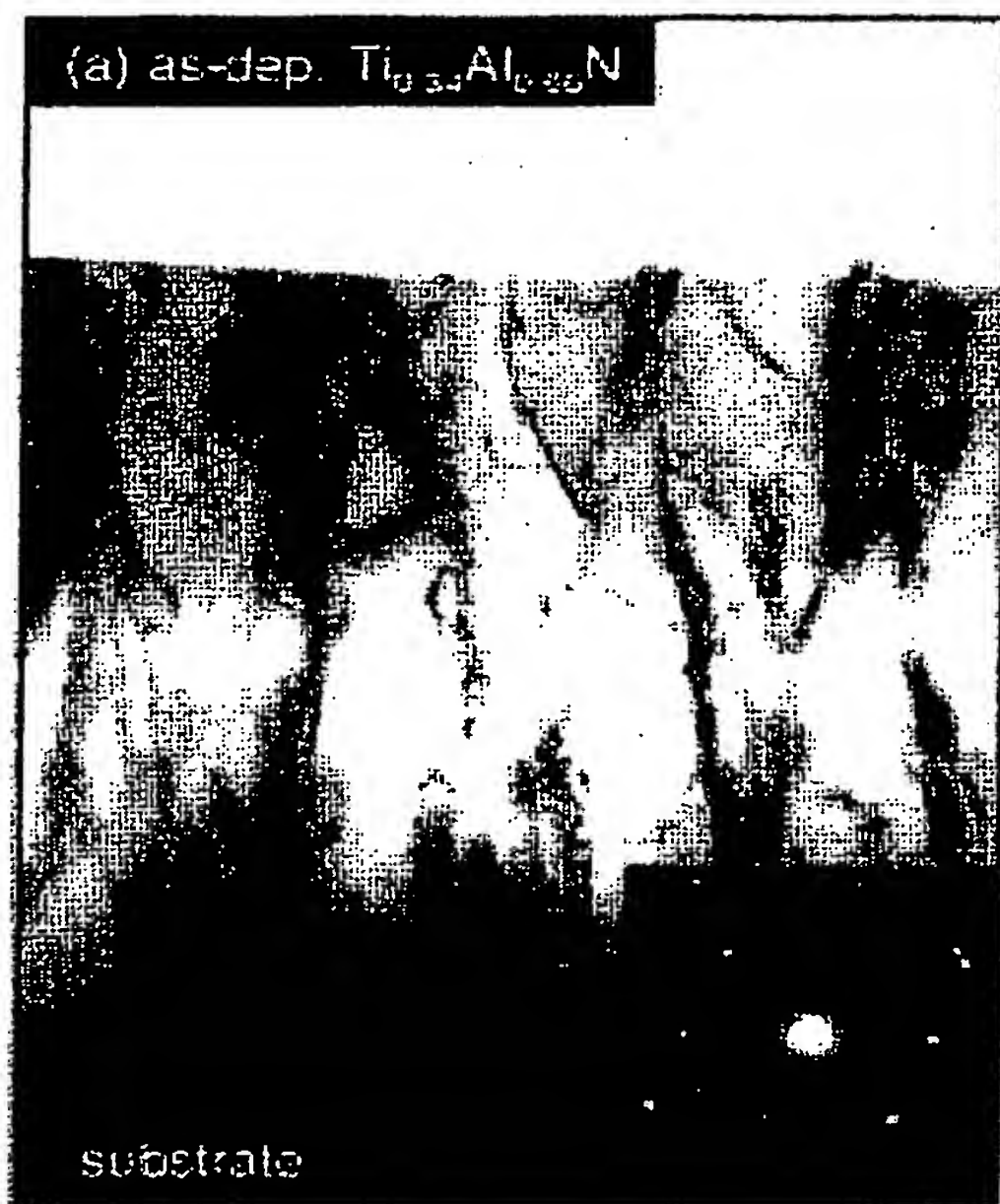


Figure 2b



5

Figure 2c



Ink. t. Patent- och reg.verket

2002 -09- 0 4

Huvudfaxen Kassa

Figure 3

